



# Exclusion and Verification List Definitions

# Contents

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<b>Exclusion and Verification List Definitions</b> .....	<b>1</b>
Overview .....	1
<b>National Exclusion Lists</b> .....	<b>2</b>
General Service Administration (GSA) – System for Award Management (SAM) .....	2
Office of Foreign Assets Control (OFAC) – Specially Designated Nationals (SDN) .....	2
Office of Inspector General (OIG) - List of Excluded Individuals/Entities (LEIE) .....	2
<b>National Verification Lists</b> .....	<b>4</b>
NPPES (The National Plan and Provider Enumeration System) .....	4
SSA Death Master File (DMF) .....	4
<b>Florida - Exclusion Lists</b> .....	<b>5</b>
FL Health Care Medicaid Sanctions .....	5
<b>Florida - Verification Lists</b> .....	<b>6</b>
FL Department of Health .....	6
<b>Massachusetts - Exclusion Lists</b> .....	<b>7</b>
MA Medicaid Provider Exclusion .....	7
<b>Missouri - Exclusion Lists</b> .....	<b>8</b>
MO Medicaid Provider Sanctions/Terminations .....	8
<b>Missouri - Verification Lists</b> .....	<b>9</b>
MO Active Licensed Administrators .....	9
MO Division of Professional Registration Licensure .....	9
<b>New Jersey – Exclusion Lists</b> .....	<b>10</b>
NJ Office of the State Comptroller – Disqualified Providers .....	10
NJ Debarment .....	10
<b>New York – Exclusion Lists</b> .....	<b>11</b>
NY OMIG - Office of the Medicaid Inspector General .....	11
NY DOH Office of Professional Medical Conduct .....	11
NYSED Office of Professions Professional Misconduct Enforcement .....	12
<b>New York – Verification Lists</b> .....	<b>13</b>
NYSED Office of Professions Licensure Verification .....	13
NY State Home Care Registry .....	13
<b>North Carolina – Exclusion Lists</b> .....	<b>14</b>
NC Medicaid DHHS .....	14

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<b>North Carolina – Verification Lists</b> .....	<b>15</b>
NC Medical Board .....	15
NC Board of Nursing .....	15
<b>Ohio – Exclusion Lists</b> .....	<b>16</b>
OH Medicaid Provider Exclusion and Suspension List .....	16
OH Abuser Registry .....	16
<b>Pennsylvania – Exclusion Lists</b> .....	<b>17</b>
PA Department of State Disciplinary Actions .....	17
PA Medichex .....	17
PA Sex Offender Registry .....	18
<b>Pennsylvania – Verification Lists</b> .....	<b>19</b>
PA Department of State Licensing System Verification .....	19
PA Department of Health Nurse Aide Registry .....	19

# Exclusion and Verification List Definitions

## Overview

To assist our clients with the tracking and verifying of **Exclusion Lists** such as OIG, OMIG, and numerous others, HHAX can now enable various lists showing Caregivers and Physicians who are excluded from federally-funded programs. This guide outlines and provides definitions for the various types of **Exclusion and Verification Lists** (National and State-specific) verified in the HHAExchange (HHAX) system.

The following table shows how Exclusion Lists are run in every state.

(National) All States	New York (specific)
Every state runs the following Exclusion Lists, along with their state-specific lists. <ul style="list-style-type: none"> <li>• GSA</li> <li>• OFAC SDN</li> <li>• OIG</li> </ul>	Runs the following Exclusion Lists. <ul style="list-style-type: none"> <li>• GSA</li> <li>• OFAC SDN</li> <li>• OIG</li> <li>• NY DOH Office of Professional Medical Conduct</li> <li>• NYSED Office of Professions Professional Misconduct Enforcement</li> <li>• OMIG</li> </ul>

**Note:** Exclusion Lists are available for view and reference purposes only. Contact HHAX Support Team to enable the available Exclusion Lists and to obtain a full list of Exclusion and Verification/Licensure Lists.

## National Exclusion Lists

### General Service Administration (GSA) – System for Award Management (SAM)

<b>What is it?</b>	The GSA protects against fraudulent handling of both the healthcare system and other government entities. The GSA maintains a database of parties that are excluded from Federal procurement to include the following: Central Contractor Registry (CCR), Federal Agency Registration (FedReg), Online Representations and Certifications Application (ORCA), Excluded Parties List System (EPLS), and OIG’s exclusion list. The GSA’s SAM incorporates all these databases, comparable to the LEIE database, with some salient differences.
<b>Link</b>	Click <a href="#">GSA (SAM)</a> to route to the Portal.
<b>Who should be verified?</b>	All Caregivers, All Physicians

### Office of Foreign Assets Control (OFAC) – Specially Designated Nationals (SDN)

<b>What is it?</b>	<b>Specially Designated Nationals (SDNs), Blocked Persons List, and Human Readable Lists</b> OFAC publishes a list of individuals and companies owned, controlled by, or acting on behalf of targeted countries. This list includes individuals, groups, and entities (such as terrorists and narcotics traffickers) designated under programs that are not country-specific known as "Specially Designated Nationals" (SDNs). SDNs’ assets are blocked and U.S. persons are generally prohibited from dealing with SDNs.
<b>Link</b>	<a href="https://sanctionssearch.ofac.treas.gov/">https://sanctionssearch.ofac.treas.gov/</a>
<b>Who should be verified?</b>	All Caregivers, All Physicians

### Office of Inspector General (OIG) - List of Excluded Individuals/Entities (LEIE)

<b>What is it?</b>	OIG has the authority to exclude individuals and entities from Federally funded health care programs pursuant to section 1128 of the Social Security Act (Act) as well as from Medicare and State health care programs under section 1156 of the Act. The OIG maintains a list (LEIE) of all currently excluded individuals and entities. Anyone who hires an individual or entity on the LEIE may be subject to civil monetary penalties.
<b>Link</b>	<a href="https://exclusions.oig.hhs.gov/">https://exclusions.oig.hhs.gov/</a>
<b>Who should be verified?</b>	All Caregivers, All Physicians

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## National Verification Lists

### NPPES (The National Plan and Provider Enumeration System)

<b>What is it?</b>	The NPI Registry Public Search is a free directory of all active National Provider Identifier (NPI) records. Healthcare providers acquire their unique 10-digit NPIs to identify themselves in a standard way throughout their industry.
<b>Link</b>	<a href="https://npiregistry.cms.hhs.gov/">https://npiregistry.cms.hhs.gov/</a>
<b>Who should be verified?</b>	All MDs, Clinicians (if applicable)

### SSA Death Master File (DMF)

<b>What is it?</b>	The DMF is an extract of death information on the NUMIDENT, the electronic database containing records of Social Security Numbers (SSN) assigned to individuals since 1936. Each record includes, if available, the deceased individual's SSN, first name, middle name, surname, date of birth, and date of death.
<b>Link</b>	<a href="https://www.ssa.gov/dataexchange/request_dmf.html">https://www.ssa.gov/dataexchange/request_dmf.html</a>
<b>Who should be verified?</b>	All Caregivers

# Florida - Exclusion Lists

## FL Health Care Medicaid Sanctions

<b>What is it?</b>	By way of the Agency for Health Care Administration (ACHA), search to find various types of information to include <i>Legal Orders</i> and <i>Statements of Deficiencies</i> . The FL Health Care Medicaid Sanctions list contains those Providers who were sanctioned or terminated while rendering services for the Medicaid program.
<b>Link</b>	<a href="http://apps.ahca.myflorida.com/dm_web/(S(dgqzodkqa5qqvwpgl3dtk))/default.aspx#Legal_Orders">http://apps.ahca.myflorida.com/dm_web/(S(dgqzodkqa5qqvwpgl3dtk))/default.aspx#Legal_Orders</a>
<b>Who should be verified?</b>	Providers/Physicians



# Florida - Verification Lists

## FL Department of Health

<b>What is it?</b>	The Florida Department of Health is responsible for the regulation of health practitioners for the preservation of the health, safety, and welfare of the public. The Licensing and Regulation section provides information relating to professional, facility, and permit licensing along with information on enforcement. All health care professions and establishments regulated by the Medical Quality Assurance Division (MQA) are included in this license search.
<b>Link</b>	<a href="https://appsmqa.doh.state.fl.us/MQASearchServices/HealthCareProviders">https://appsmqa.doh.state.fl.us/MQASearchServices/HealthCareProviders</a>
<b>Who should be verified?</b>	Providers/Physicians

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# Massachusetts - Exclusion Lists

## MA Medicaid Provider Exclusion

<b>What is it?</b>	The Mass.gov website offers a list of individuals and entities identified as suspended or excluded from participating in MassHealth. These entities are listed according to the Provider type at the time of such action. Further information is listed to include exclusion/suspension reasons as well as several other linked resources.
<b>Link</b>	<a href="https://www.mass.gov/service-details/learn-about-suspended-or-excluded-masshealth-providers">https://www.mass.gov/service-details/learn-about-suspended-or-excluded-masshealth-providers</a>
<b>Who should be verified?</b>	Providers/Physicians

# Missouri - Exclusion Lists

## MO Medicaid Provider Sanctions/Terminations

<p><b>What is it?</b></p>	<p>The MMAC is responsible for imposing sanctions on providers who are deemed to be in violation of a provider manual, state statute, state regulation or federal regulation. The Missouri Medicaid program ensures that the best medical professionals participate in the Medicaid program. To determine the appropriate sanctions, the MMAC takes into account aggravating or mitigating circumstances in accordance with 13 CSR 70-3.030 (click <a href="#">PDF</a> to read the details). Providers who are no longer eligible are placed on a list of terminated providers. Click on the provided link below to access the list and read the disclaimer regarding the list of terminations.</p>
<p><b>Link</b></p>	<p><a href="https://mmac.mo.gov/providers/provider-sanctions/">https://mmac.mo.gov/providers/provider-sanctions/</a></p>
<p><b>Who should be verified?</b></p>	<p>Providers/Physicians</p>

# Missouri - Verification Lists

## MO Active Licensed Administrators

<b>What is it?</b>	<p>These reports provide complete public information regarding any person(s) who holds an administrator license with the Health &amp; Senior Services office. Each report is updated periodically as new administrators are added and expired administrators are removed. If one cannot find an individual on either of these reports, check the reports for other licensure statuses on the website. Other licensure statuses are:</p> <ul style="list-style-type: none"> <li>Inactive License</li> <li>Retired License</li> <li>Disciplinary License</li> </ul>
<b>Link</b>	<a href="http://health.mo.gov/information/boards/bnha/administrators.php">http://health.mo.gov/information/boards/bnha/administrators.php</a>
<b>Who should be verified?</b>	Administrators

## MO Division of Professional Registration Licensure

<b>What is it?</b>	<p>The licensee search function of this website provides data extracted from our database and constitutes a Primary Source Verification. At least one of the following criteria must be selected: <b>County, Profession, Name, or License Number</b></p>
<b>Link</b>	<a href="https://renew.pr.mo.gov/licensee-search.asp">https://renew.pr.mo.gov/licensee-search.asp</a>
<b>Who should be verified?</b>	Licensed Caregivers, Physicians

## New Jersey – Exclusion Lists

### NJ Office of the State Comptroller – Disqualified Providers

<p><b>What is it?</b></p>	<p>A disqualified Provider is a person or an organization that has been excluded from participation in federal or State funded health care programs including but not limited to Medicare or Medicaid. Any products or services that a disqualified Provider furnishes, orders or prescribes are not eligible for payment under those programs. This payment prohibition extends to anyone who employs or contracts with the disqualified provider, as well as to any facility where the disqualified provider delivers services that might otherwise be reimbursable.</p> <p>The links on this page help Providers determine whether the individuals they employ or contract with are excluded from the New Jersey Medicaid program. In addition, they provide information on individuals holding professional licenses in the State of New Jersey.</p>
<p><b>Link</b></p>	<p><a href="https://www.nj.gov/comptroller/divisions/medicaid/disqualified/">https://www.nj.gov/comptroller/divisions/medicaid/disqualified/</a></p>
<p><b>Who should be verified?</b></p>	<p>Physicians/Providers</p>

### NJ Debarment

<p><b>What is it?</b></p>	<p>The <b>State of New Jersey Debarment List</b> contains Agency debarment, suspension and disqualification actions taken pursuant to Executive Orders #34 (1976) and #189 (1988).</p>
<p><b>Link</b></p>	<p><a href="https://www.secureepls.com/exclusion-databases/new-jersey-debarment-list">https://www.secureepls.com/exclusion-databases/new-jersey-debarment-list</a></p>
<p><b>Who should be verified?</b></p>	<p>Physicians/Providers</p>

# New York – Exclusion Lists

## NY OMIG - Office of the Medicaid Inspector General

<p><b>What is it?</b></p>	<p>The NYS Medicaid Exclusion List identifies individuals or entities who have been excluded from participating in the NYS Medicaid program under the provisions of 18 NYCRR § 515.3 and/or 18 NYCRR § 515.7.</p> <p>An excluded individual or entity cannot be involved in any activity relating to furnishing medical care, services or supplies to recipients of medical assistance for which claims are submitted to the program or relating to claiming or receiving payment for medical care, services or supplies during the period of exclusion. See 18 NYCRR § 515.5 for more information regarding the effect of exclusion.</p>
<p><b>Link</b></p>	<p><a href="https://www.omig.ny.gov/information-about-medicaid-exclusions">https://www.omig.ny.gov/information-about-medicaid-exclusions</a></p>
<p><b>Who should be verified?</b></p>	<p>Physicians/Providers</p>

## NY DOH Office of Professional Medical Conduct

<p><b>What is it?</b></p>	<p>The Office of Professional Medical Conduct (OPMC) investigates complaints about physicians, physician assistants and specialist assistants and monitors practitioners who are subject to Orders of the State Board for Professional Medical Conduct. This section provides a listing of all physicians, physician assistants, specialist assistants, and professional medical corporations who have been disciplined since 1990, or who are subject to a non-disciplinary Board Order, or upon whom charges of misconduct have been served.</p>
<p><b>Link</b></p>	<p><a href="https://apps.health.ny.gov/pubdoh/professionals/doctors/conduct/factions/Home.action">https://apps.health.ny.gov/pubdoh/professionals/doctors/conduct/factions/Home.action</a></p>
<p><b>Who should be verified?</b></p>	<p>Physicians</p>

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## **NYSED Office of Professions Professional Misconduct Enforcement**

<b>What is it?</b>	To ensure public protection in New York State, the New York State Education Department's Office of the Professions (OP) investigates and prosecutes professional misconduct in all professions except medicine (see more information about this exception). Professional misconduct is the failure of a licensed professional to meet expected standards of practice.
<b>Link</b>	<a href="http://www.op.nysed.gov/opd/rasearch.htm">http://www.op.nysed.gov/opd/rasearch.htm</a>
<b>Who should be verified?</b>	All Licensed Caregivers

## New York – Verification Lists

### **NYSED Office of Professions Licensure Verification**

<b>What is it?</b>	Verification of Professional Licensure
<b>Link</b>	<a href="http://www.op.nysed.gov/opsearches.htm">http://www.op.nysed.gov/opsearches.htm</a>
<b>Who should be verified?</b>	All Licensed Caregivers, Physicians

### **NY State Home Care Registry**

<b>What is it?</b>	The Home Care Registry provides limited information about home care workers who have successfully completed a state-approved training program in New York State. Information contained in the registry may be entered and updated by third parties. Consequently, the Department of Health does not guarantee the accuracy of third-party information provided. Further, the Department of Health does not endorse any individual listed on the Registry.
<b>Link</b>	<a href="https://apps.health.ny.gov/professionals/home_care/registry/home.action">https://apps.health.ny.gov/professionals/home_care/registry/home.action</a>
<b>Who should be verified?</b>	All Caregivers



# North Carolina – Exclusion Lists

## NC Medicaid DHHS

<b>What is it?</b>	An excluded Provider is an individual or entity that cannot bill or cause services to be billed to Medicare, Medicaid or NC Health Choice. DHHS works diligently to prevent excluded Providers from participating in NC Medicaid and NC Health Choice to comply with federal regulations. The North Carolina Medicaid Provider Termination and Exclusion list is updated monthly. The termination or exclusion is in effect until the Provider is removed from this list.
<b>Link</b>	<a href="https://medicaid.ncdhhs.gov/providers/excluded-providers">https://medicaid.ncdhhs.gov/providers/excluded-providers</a>
<b>Who should be verified?</b>	All Caregivers/Physicians

# North Carolina – Verification Lists

## NC Medical Board

<b>What is it?</b>	The NCMB Roster is a full listing of licensed physicians, physician assistants and certain other medical professionals regulated by the NCMB. This list provides names, addresses, license numbers, area of practice or specialty, and other information (NOT including phone numbers or email addresses). A new roster report is run monthly providing the most up-to-date listing of the Board’s licensees.
<b>Link</b>	<a href="https://wwwapps.ncmedboard.org/Clients/NCBOM/Public/LicenseeInformationSearch.aspx">https://wwwapps.ncmedboard.org/Clients/NCBOM/Public/LicenseeInformationSearch.aspx</a>
<b>Who should be verified?</b>	Physicians

## NC Board of Nursing

<b>What is it?</b>	Provides information regarding Registered Nurses, Licensed Practical Nurses, Nurse Aide II, Advanced Practice Nurses for the practice of nursing in the State of North Carolina. Verification also includes Medication Aide Registered Nurse Instructors in the State of North Carolina.
<b>Link</b>	<a href="https://www.ncbon.com/licensure-listing-verify-a-license-verify-a-license">https://www.ncbon.com/licensure-listing-verify-a-license-verify-a-license</a>
<b>Who should be verified?</b>	Nurses (RN, LPN) and Nurse Aides II. Includes all licensed Caregivers

## Ohio – Exclusion Lists

### OH Medicaid Provider Exclusion and Suspension List

<b>What is it?</b>	The Ohio Department of Medicaid (ODM) maintains a list of Providers who have been excluded or that are currently suspended from the Ohio Medicaid program. If an individual or company is on the list, the individual or company may not be an owner in whole or in part; officer or partner; authorized agent, associate, manager, or employee of a Medicaid provider. The individual or company is prohibited from owning, contracting for, arranging for rendering or ordering services for Medicaid recipients or receiving direct or indirect reimbursement of Medicaid funds in the form of salary, shared fees, contracts, kickbacks, or rebates from or through any participating provider or risk contractor.
<b>Link</b>	<a href="https://medicaid.ohio.gov/provider/EnrollmentandSupport/ProviderExclusionandSuspensionList">https://medicaid.ohio.gov/provider/EnrollmentandSupport/ProviderExclusionandSuspensionList</a>
<b>Who should be verified?</b>	All Caregivers/Physicians

### OH Abuser Registry

<b>What is it?</b>	<p>Ohio Administrative Code <a href="#">5123-17-03</a> establishes guidelines to track those who are prohibited from working with people with developmental disabilities using the Abuser Registry. Individuals placed on the Abuser Registry are barred from employment as a developmental disabilities employee in the state of Ohio (and other states that check the Abuser Registry).</p> <p>The Ohio Department of Developmental Disabilities maintains the Abuser Registry, which is a list of employees who the department has determined have committed one of the below-listed Abuser Registry offenses:</p> <ul style="list-style-type: none"> <li>• Physical Abuse</li> <li>• Sexual Abuse</li> <li>• Verbal Abuse</li> <li>• Prohibited Sexual Relations</li> <li>• Neglect</li> <li>• Misappropriation (Theft)</li> <li>• Failure to Report Abuse, Neglect, or Misappropriation</li> <li>• Plea of Guilt or Conviction</li> </ul>
<b>Link</b>	<a href="https://dodd.ohio.gov/wps/portal/gov/dodd/health-and-welfare/all-health-and-welfare-resources/abuser-registry">https://dodd.ohio.gov/wps/portal/gov/dodd/health-and-welfare/all-health-and-welfare-resources/abuser-registry</a>
<b>Who should be verified?</b>	All Caregivers/Physicians

# Pennsylvania – Exclusion Lists

## PA Department of State Disciplinary Actions

<b>What is it?</b>	<p>Listing of disciplinary or corrective measures taken by the 29 professional licensing boards and commissions, Charitable Organizations and Notaries Public. Every effort is made to ensure each record (entry) and information is correct. Each entry includes the respondent's name, license number (if any), last known business address with the board, the sanction imposed, a brief description of the basis for the disciplinary or corrective measure, the effective date, and whether the action has been appealed.</p> <p>Note that the names of persons listed may be similar to the names of persons who have not had disciplinary or corrective measures taken against them. To obtain an official confirmation of the current status of a license contact the Bureau of Professional and Occupational Affairs at 2601 North 3rd Street, Harrisburg, PA 17110; (717) 787-8503.</p>
<b>Link</b>	<a href="https://www.pals.pa.gov/#/page/search">https://www.pals.pa.gov/#/page/search</a>
<b>Who should be verified?</b>	Licensed Caregivers, Physicians

## PA Medichcek

<b>What is it?</b>	<p>Search the most recent Medichcek List by provider name, license number, and business name. To order, select the applicable field from the dropdown menu. To get a complete and current Medichcek listing (sorted by provider last name), select the View Complete List button.</p>
<b>Link</b>	<a href="http://dhs.pa.gov/publications/medichceksearch/">http://dhs.pa.gov/publications/medichceksearch/</a>
<b>Who should be verified?</b>	Providers/Physicians

## PA Sex Offender Registry

<p><b>What is it?</b></p>	<p>Pennsylvania's General Assembly has enhanced public safety by making available public records of registered sexual offenders via the internet. Access to said information is intended solely as a means of public protection, any other use is prohibited. Knowing whether a person is a registered sexual offender could be a significant factor in protecting self, family members, or persons in one's care from recidivist acts by registered sexual offenders.</p> <p>The website (below) provides public information on registered sexual offenders who reside, or are transient, attend school, or are employed/carry on a vocation (State Police, 42 Pa.C.S. § 9799.28). Pennsylvania's Megan's Law (42 Pa.C.S § 9799.32(1)) requires the State Police to create and maintain a registry of persons who reside, or is transient, work/-carry on a vocation, or attend school in the Commonwealth and who have either been convicted of, entered a plea of guilty to, or have been adjudicated delinquent of Certain Sexual Offenses in Pennsylvania or another jurisdiction.</p>
<p><b>Link</b></p>	<p><a href="https://www.pameganslaw.state.pa.us/Search/NameSearch">https://www.pameganslaw.state.pa.us/Search/NameSearch</a></p>
<p><b>Who should be verified?</b></p>	<p>All Caregivers</p>

# Pennsylvania – Verification Lists

## PA Department of State Licensing System Verification

<b>What is it?</b>	Search for license information on individuals and businesses regulated by the Bureau of Professional and Occupational Affairs. This site is considered a primary source for verification of license credentials provided by the Pennsylvania Department of State.
<b>Link</b>	<a href="https://www.pals.pa.gov/#/page/search">https://www.pals.pa.gov/#/page/search</a>
<b>Who should be verified?</b>	Licensed Caregivers, Physicians

## PA Department of Health Nurse Aide Registry

<b>What is it?</b>	A nurse aide who is not enrolled, or in good standing, on the Nurse Aid Registry may not be employed in a nursing care facility that receives Medicare or Medicaid reimbursement. Facilities must verify that the aides they employ (or potential employees) are eligible for enrollment (or re-enrollment) as nurse aides. Aides must have no record of resident abuse, neglect or misappropriation of resident’s personal property. The Nurse Aide Registry is now available online to facilitate a nurse aide’s status verification via the internet.
<b>Link</b>	<a href="https://www.pulseportal.com/selectStateAndBoard.do">https://www.pulseportal.com/selectStateAndBoard.do</a>
<b>Who should be verified?</b>	Caregivers